# Supplementary material for: Evaluating the cost-effectiveness of pre-exposure prophylaxis (PrEP) and its impact on HIV-1 transmission in South Africa 

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## Mathematical model

The mathematical model underlying this analysis is a simple extension of the model developed and parameterized in [1]. Parameter descriptions for this model are given in table S1, while parameter values are listed in Tab. 1 [1]. Parameter description and values related to inclusion of PrEP in the model descriptions are given in table S2.

It consists of only a few compartments which are all structured by age. Throughout the index $k$ refers either to females $(k=f)$ or to males $(k=m)$. Let:

- $S_{k}(t, x)$ be the susceptible population of sex $k$ aged $x(x \geq 1$, age $x$ corresponding to those people whose exact age is between $x-1$ and $x)$ at time $t\left(t \geq t_{0}\right)$.
- $P_{k}(t, x, y)$ be the HIV _ population of sex $k$ aged $x$ at time $t$ that have received $\operatorname{PrEP}$ for $y$ years.
- $I_{k}^{\prime}(t, x, y)$ be the population of sex $k$ aged $x$ at time $t$ that has been $\operatorname{HIV}_{+}$for $y$ years $(1 \leq y \leq x)$ who are infected and receiving PrEP.
- $I_{k}(t, x, y)$ be the population of sex $k$ aged $x$ at time $t$ that has been $\operatorname{HIV}_{+}$for $y$ years $(1 \leq y \leq x)$ who are not receiving ART or PrEP.
- $A_{k}(t, x, y)$ is the $\operatorname{HIV}_{+}$population of sex $k$ aged $x$ at time $t$ that is receiving ART and that spent $y$ years $(1 \leq y<x)$ infected but without treatment.
- $N_{k}(t, x)=S_{k}(t, x)+P(t, x)+I_{k}^{\prime}(t, x)+I_{k}(t, x)+A_{k}(t, x)$ where, with a slight abuse of notation,

$$
\begin{array}{ll}
P_{k}(t, x)=\sum_{y \leq x} P_{k}(t, x, y), & I_{k}^{\prime}(t, x)=\sum_{y \leq x} I_{k}^{\prime}(t, x, y) \\
I_{k}(t, x)=\sum_{y \leq x} I_{k}(t, x, y), & A_{k}(t, x)=\sum_{y<x} A_{k}(t, x, y)
\end{array}
$$

Keeping the notations of [1], set $J_{k}(t, x)=I_{k}(t, x)+\varepsilon\left(I_{k}^{\prime}(t, x)+A_{k}(t, x)\right)$. The force of infection is assumed to be

$$
\begin{aligned}
& \lambda_{f}(t, x)=1-\exp \left(-p_{f}(1-c(t, x)) r(x) \sum_{z} s(x, z) J_{m}(t, z) / N_{m}(t, z)\right) \\
& \lambda_{m}(t, x)=1-\exp \left(-p_{m} \sum_{z}(1-c(t, z)) r(z) s(z, x) J_{f}(t, z) / N_{m}(t, x)\right)
\end{aligned}
$$

for non PrEP users and

$$
\begin{aligned}
\lambda_{f}^{\prime}(t, x) & =1-\exp \left(-p_{f}(1-\varphi)\left(1-c^{\prime}(t, x)\right) r(x) \sum_{z} s(x, z) J_{m}(t, z) / N_{m}(t, z)\right) \\
\lambda_{m}^{\prime}(t, x) & =1-\exp \left(-p_{m} \sum_{z}(1-\varphi)\left(1-c^{\prime}(t, z)\right) r(z) s(z, x) J_{f}(t, z) / N_{m}(t, x)\right)
\end{aligned}
$$

for PrEP users. Let $\theta_{k}^{\prime}$ be the PrEP starting rate for people whose age is between $x-1$ and $x$. The ART starting rate is assumed to be

$$
\begin{equation*}
\theta(t, x, y)=h_{2}(t) \rho(x, y) \psi /(1-\psi)+h_{3}(t) \tau \tag{1}
\end{equation*}
$$

Notice that under the current ART program ( $h_{2}=1$ and $h_{3}=0$ ), HIV + people are subject to two competing risks: the risk of dying at a rate $\rho(x, y)$, and the risk (the chance) of starting ART at a rate $\rho(x, y) \psi /(1-\psi)$. Thus a fraction $\psi$ starts ART.

The rate at which PrEP individuals start ART is assumed to be higher:

$$
\theta^{*}(t, x, y)=\nu \theta(t, x, y) \nu>1
$$

to account for the likelihood that PrEP users will undergo regular screening and thus be more likely to enroll for ART.

Our assumptions regarding the initial condition $t=t_{0}$ are as follows. For all $1 \leq x \leq \omega$, we assume that $S_{k}\left(t_{0}, x\right)=N_{k}\left(t_{0}, x\right)$ except that $S_{m}\left(t_{0}, x_{0}\right)=N_{m}\left(t_{0}, x_{0}\right)-1$. For all $1 \leq y \leq x \leq \omega$, we assume that $I_{k}\left(t_{0}, x, y\right)=0$ except that $I_{m}\left(t_{0}, x_{0}, 1\right)=1$. Finally, $A_{k}\left(t_{0}, x, y\right)=0$ for all $1 \leq y<x \leq \omega$.

For $t \geq t_{0}$ and $1 \leq x \leq \omega-1$, the susceptible population is given by

$$
\begin{aligned}
S_{k}(t+1,1)= & b(t)(1-\pi(t)) \\
S_{k}(t+1, x+1)= & \left(1-\mu_{k}(x)\right)\left(1-\lambda_{k}(t, x)\right)\left(1-\theta_{k}^{\prime}(t, x)\right) S_{k}(t, x) \\
& +\left(1-\mu_{k}(x)\right)\left(1-\lambda_{k}^{\prime}(t, x)\right) \phi^{\prime} P_{k}(t, x)
\end{aligned}
$$

The $\operatorname{PrEP}$ receiving population is given for $t \geq t_{0}$ and $1 \leq y \leq x$ by

$$
\begin{aligned}
P_{k}(t+1, x+1,1) & =\left(1-\mu_{k}(x)\right)\left(1-\lambda_{k}(t, x)\right) \theta_{k}^{\prime}(t, x) S_{k}(t, x), \\
P_{k}(t+1, x+1, y+1) & =\left(1-\mu_{k}(x)\right)\left(1-\lambda_{k}^{\prime}(t, x)\right)\left(1-\phi^{\prime}\right) P_{k}(t, x, y)
\end{aligned}
$$

The $\operatorname{PrEP}$ receiving population who are infected is given for $t \geq t_{0}$ and $1 \leq y \leq x \leq \omega-1$ by

$$
\begin{aligned}
I_{k}^{\prime}(t+1, x+1,1)= & \left(1-\mu_{k}(x)\right) \lambda_{k}^{\prime}(t, x)\left(1-\phi^{\prime}\right) P_{k}(t, x) \\
& +\left(1-\mu_{k}(x)\right) \lambda_{k}(t, x) \theta_{k}^{\prime}(t, x) S_{k}(t, x) \\
I_{k}^{\prime}(t+1, x+1, y+1)= & \left(1-\mu_{k}(x)\right)(1-\rho(x, y))\left(1-\phi_{+}^{\prime}\right)\left(1-\theta^{*}(t, x, y)\right) I_{k}^{\prime}(t, x, y)
\end{aligned}
$$

Here $\phi_{+}^{\prime} \geq \phi$ is the rate at which HIV ${ }_{+} \operatorname{PrEP}$ users will discontinue PrEP use. The infected population without treatment is given for $t \geq t_{0}$ and $1 \leq y \leq x \leq \omega-1$ by

$$
\begin{aligned}
I_{k}(t+1,1,1)= & b(t) \pi(t) \\
I_{k}(t+1, x+1,1)= & \left(1-\mu_{k}(x)\right) \lambda_{k}(t, x)\left(1-\theta_{k}^{\prime}(x)\right) S_{k}(t, x) \\
& +\left(1-\mu_{k}(x)\right) \lambda_{k}^{\prime}(t, x) \phi^{\prime} P_{k}(t, x, y) \\
I_{k}(t+1, x+1, y+1)= & \left(1-\mu_{k}(x)\right)(1-\rho(x, y))(1-\theta(t, x, y)) I_{k}(t, x, y) \\
& +\left(1-\mu_{k}(x)\right) \phi(1-\sigma(y)) A_{k}(t, x, y) \\
& +\left(1-\mu_{k}(x)\right)(1-\rho(x, y)) \phi_{+}^{\prime}\left(1-\theta^{*}(t, x, y)\right) I_{k}^{\prime}(t, x, y)
\end{aligned}
$$

The ART-treated population is given for $t \geq t_{0}$ and $1 \leq y \leq x \leq \omega-1$ by

$$
\begin{aligned}
A_{k}(t+1, x+1, y)= & \left(1-\mu_{k}(x)\right)(1-\phi)(1-\sigma(y)) A_{k}(t, x, y) \\
& +\left(1-\mu_{k}(x)\right)(1-\rho(x, y)) \theta(t, x, y) I_{k}(t, x, y) \\
& +\left(1-\mu_{k}(x)\right)(1-\rho(x, y))\left(1-\phi_{+}^{\prime}\right) \theta^{*}(t, x, y) I_{k}^{\prime}(t, x, y)
\end{aligned}
$$

setting $A_{k}(t, 1,1)=0$ for all $t$ for convenience.

## Acknowledgments

## References

1. Bacaer N, Pretorius C, Auvert B (2010) An age-structured model for the potential impact of generalized access to antiretrovirals on the South African HIV epidemic. Bull Math Biol doi:10.1007/s11538-010-9535-2

## Tables

Table 1. Notations and parameter description. "M2C" stands for mother-to-child, "prob." for probability.

| $k$ | sex (female or male) |
| :--- | :--- |
| $t_{0}$ | year of introduction of HIV |
| $t$ | time, $t \geq t_{0}$ |
| $\omega$ | maximum age considered |
| $x$ | age, $1 \leq x \leq \omega$ |
| $y$ | time since infection without ART |
| $x_{0}$ | age of first infected woman |
| $b\left(t_{0}\right)$ | annual male (and female) births at $t=t_{0}$ |
| $p_{f}$ | HIV transmission prob. (man to woman) |
| $p_{m}$ | HIV transmission prob. (woman to man) |
| $q_{0}$ | M2C transmission prob. |
| $q_{1}$ | M2C transmission prob. with PMTCT |
| $\varepsilon$ | relative infectiousness of people on ART |
| $\phi$ | ART drop-out |
| $\tau$ | annual proportion tested for HIV |
| $N_{k}\left(t_{0}, x\right)$ | age pyramid at $t=t_{0}$ |
| $\mu_{k}(x)$ | death rate if HIV_ |
| $b(t) / b\left(t_{0}\right)$ | changing birth rate |
| $\beta(x)$ | normalized female fertility |
| $u$ | under-reporting of male sexual partners |
| $u r(x)$ | reported turnover of male sexual partners |
| $s(x, y)$ | choice of male sexual partner |
| $c(t, x)$ | condom use |
| $\rho(x, y)$ | AIDS mortality |
| $\rho_{1}(y)$ | adult AIDS mortality |
| $\sigma(y)$ | mortality under ART |
| $h_{1}(t)$ | access to PMTCT |
| $h_{2}(t)$ | access to current ART program |
| $h_{3}(t)$ | access to the "test and treat" strategy |
| $\psi$ | proportion starting ART in current program |

Table 2. Extension to notations and parameters used in [1]. PrEP parameters used in Section: "Universal PrEP and UTT: comparative impact"

| Notational parameters |  |
| :--- | :--- |
| $k:$ sex (female or male) | $f$ or $m$ |
| $t_{0}:$ year of introduction of HIV | $t \geq t_{0}$ |
| $t:$ time |  |
| $\omega:$ maximum age considered | $1 \leq x \leq \omega$ |
| $x:$ age | $1 \leq y \leq \omega$ |
| $y:$ disease duration |  |
| PrEP sub-model | $20 \%$ per year |
| $\theta_{k}^{\prime}:$ access to PrEP | eq. 1 |
| $\theta(t, x, y):$ access to ART for non-PrEP users | $\nu=1.5$ |
| $\theta^{*}=\nu \theta:$ access to ART for PrEP users | $90 \%$ |
| $\varphi:$ efficacy of PrEP | $1.5 \%$ per year |
| $\phi^{\prime}: \operatorname{PrEP}$ drop-out | $100 \%$ per year |
| $\phi_{+}^{\prime}: \operatorname{PrEP}$ discontinuation rate | $0 \%$ |
| $c_{k}^{\prime}(t, x):$ condom substitution for those using $\operatorname{PrEP}$ | $0 \%$ |

